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File: USPT

Jul 16, 1996

US-PAT-NO: 5537586

DOCUMENT-IDENTIFIER: US 5537586 A

**TITLE:** Enhanced apparatus and methods for retrieving and selecting profiled textual information records from a database of defined category structures

DATE-ISSUED: July 16, 1996

**INVENTOR-INFORMATION:**

NAME	CITY	STATE	ZIP CODE	COUNTRY
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US-CL-CURRENT: 707/3**ABSTRACT:**

A method for extracting a preferred set of textual records from a database includes the following features. Priority values are assigned to each of a plurality of predefined category structures. Textual records are assigned a relevance value with respect to each category structure. If a record's relevance value exceeds a predetermined threshold value, that record is associated with the category structure. Each category has a list of associated textual records which are retrieved. Textual records are selected from the set of retrieved textual records and assembled into a set. Information on how the subscriber uses the set is gathered, and new rankings for the category structure are computed.

10 Claims, 24 Drawing figures Exemplary Claim Number: 1  
Number of Drawing Sheets: 22

**WEST** **Generate Collection**

L6: Entry 8 of 10

File: USPT

Sep 22, 1998

US-PAT-NO: 5813009

DOCUMENT-IDENTIFIER: US 5813009 A

TITLE: Computer based records management system method

DATE-ISSUED: September 22, 1998

## INVENTOR-INFORMATION:

NAME	CITY	STATE	ZIP CODE	COUNTRY
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US-CL-CURRENT: 707/100, 707/101, 707/103, 707/104, 707/200, 707/204, 707/205,  
707/9, 711/100, 711/170

## ABSTRACT:

A computer based records management system comprises an information filter for assuring that record data units offered to the system for storage are complete and not redundant. These record data units may be electronic in nature, scanned from paper, digitally formed from audio, video or otherwise formed as digital data information media, an objective of the disclosed system being to eliminate paper or microform record keeping. If record data units comprising documents are incomplete or redundant, the data units may be queued for special handling, returned or otherwise disposed of. Record data units accepted for system entry are acknowledged and preferably tagged to enable tracking and forwarded for unit verification and certification. Verification and certification includes examination of units for compliance with preset criteria. Once a unit is found to meet the criteria, the unit is stored in permanent storage along with a disposal schedule determined for that unit. Only users meeting predetermined security levels may alter or dispose of a stored unit prior to scheduled disposal. Moreover, reasons for such alteration or destruction are required from the cleared user, and an associated data field must be completed. Consequently, the present system provides for record data unit tracking and audit trails in the event of any requirement for regulatory or legal compliance with discovery or other record unit requests. The present invention also permits reconstruction of the record units of an enterprise in the event of a catastrophic event.

38 Claims, 10 Drawing figures Exemplary Claim Number: 1  
Number of Drawing Sheets: 10